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PTO/SB/21 (6-98)  
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|   |                                  |                         |
|---|----------------------------------|-------------------------|
| <b>TRANSMITTAL FORM</b><br>(to be used for all correspondence after initial filing) | Applicati n Number               | 09/875,501              |
|   | Filing Date                      | June 4, 2001            |
|   | First Named Inventor             | Klaus Florian Schuegraf |
|   | Group Art Unit                   | 2815                    |
|   | Examiner Name                    | E. Ortiz                |
| Total Number of Pages in This Submission  | Attorney Docket Number MI22-1741 |                         |

| ENCLOSURES (check all that apply)  |   |  |
|--|---|--|
| <input checked="" type="checkbox"/> Fee Transmittal Form<br><input checked="" type="checkbox"/> Fee Attached<br><input type="checkbox"/> Amendment / Response<br><input type="checkbox"/> After Final<br><input type="checkbox"/> Affidavits/declaration(s)<br><input type="checkbox"/> Extension of Time Request<br><input type="checkbox"/> Express Abandonment Request<br><input checked="" type="checkbox"/> <b>Supplemental</b> Information Disclosure Statement<br><input type="checkbox"/> Certified Copy of Priority Document(s)<br><input type="checkbox"/> Response to Missing Parts/ Incomplete Application<br><input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 | <input type="checkbox"/> Assignment Papers (for an Application)<br><input type="checkbox"/> Drawing(s)<br><input type="checkbox"/> Licensing-related Papers<br><input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition<br><input type="checkbox"/> Petition to Convert to a Provisional Application<br><input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address<br><input type="checkbox"/> Terminal Disclaimer<br><input type="checkbox"/> Small Entity Statement<br><input type="checkbox"/> Request for Refund | <input type="checkbox"/> After Allowance Communication to Group<br><input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences<br><input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)<br><input type="checkbox"/> Proprietary Information<br><input type="checkbox"/> Status Letter<br><input checked="" type="checkbox"/> Additional Enclosure(s) (please identify below):<br><b>Return Postcard Receipt; Check for \$180.00; and Form PTO-1449 w/copy of cited reference.</b> |
| Remarks  |   | Customer No. 021567. The Commissioner is hereby authorized to charge any deficiency or credit any overpayment to Deposit No. 23-0925.  |

| SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT |   |
|--|---|
| Firm or Individual name                    | Mark Hendricksen Reg. No. 32,356; Wells St. John P.S. |
| Signature                                  | <i>Mark Hendricksen</i>                               |
| Date                                       | 3/15/02   |

| CERTIFICATE OF MAILING  |                          |
|---|--------------------------|
| I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: 03-15-02 |                          |
| Typed or printed name   | Connie L. Kathman        |
| Signature   | <i>Connie L. Kathman</i> |
| Date  | 03-15-02                 |

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

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PTO/SB/17 (11-01)

Approved for use through 10/31/2002. OMB 0651-0032

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

# FEE TRANSMITTAL

## for FY 2002

Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27

**TOTAL AMOUNT OF PAYMENT (\$)** 180.00

### Complete if Known

Application Number **09/875,501**  
 Filing Date **June 4, 2001**  
 First Named Inventor **Klaus Florian Schuegraf**  
 Examiner Name **E. Ortiz**  
 Group Art Unit **2815**  
 Attorney Docket No. **MI22-1741**

### METHOD OF PAYMENT (check all that apply)

☒ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None

☐ Deposit Account:

Deposit Account Number  
 Deposit Account Name

**23-0925**

**Wells St. John P.S.**

The Commissioner is authorized to: (check all that apply)

☐ Charge fee(s) indicated below ☒ Credit any overpayments  
☒ Charge any additional fee(s) during the pendency of this application  
☐ Charge fee(s) indicated below, except for the filing fee to the above identified deposit account.

### FEE CALCULATION

#### 1. BASIC FILING FEE

Large Entity Small Entity

| Fee Code (\$) | Fee Code (\$) | Fee Description        | Fee Paid |
|---------------|---------------|------------------------|----------|
| 101 740       | 201 370       | Utility filing fee     |          |
| 106 330       | 206 165       | Design filing fee      |          |
| 107 510       | 207 255       | Plant filing fee       |          |
| 108 740       | 208 370       | Reissue filing fee     |          |
| 114 160       | 214 80        | Provisional filing fee |          |

**SUBTOTAL (1) (\$)** 0.00

#### 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Extra Claims Fee from below Fee Paid

Total Claims  -20\*\* =  X  =   
 Independent Claims  -3\*\* =  X  =   
 Multiple Dependent  =

Large Entity Small Entity

| Fee Code (\$) | Fee Code (\$) | Fee Description  |
|---------------|---------------|--|
| 103 18        | 203 9         | Claims in excess of 20                                     |
| 102 84        | 202 42        | Independent claims in excess of 3                          |
| 104 280       | 204 140       | Multiple dependent claim, if not paid                      |
| 109 84        | 209 42        | ** Reissue independent claims over original patent         |
| 110 18        | 210 9         | ** Reissue claims in excess of 20 and over original patent |

**SUBTOTAL (2) (\$)** 0.00

\*\*or number previously paid, if greater; For Reissues, see above

### FEE CALCULATION (continued)

#### 3. ADDITIONAL FEES

Large Entity Small Entity

| Fee Code (\$) | Fee Code (\$) | Fee Description  | Fee Paid |
|---------------|---------------|--|----------|
| 105 130       | 205 65        | Surcharge - late filing fee or oath  | 0.00     |
| 127 50        | 227 25        | Surcharge - late provisional filing fee or cover sheet                     | 0.00     |
| 139 130       | 139 130       | Non-English specification  | 0.00     |
| 147 2,520     | 147 2,520     | For filing a request for <i>ex parte</i> reexamination                     | 0.00     |
| 112 920*      | 112 920*      | Requesting publication of SIR prior to Examiner action                     | 0.00     |
| 113 1,840*    | 113 1,840*    | Requesting publication of SIR after Examiner action                        | 0.00     |
| 115 110       | 215 55        | Extension for reply within first month                                     | 0.00     |
| 116 400       | 216 200       | Extension for reply within second month                                    | 0.00     |
| 117 920       | 217 460       | Extension for reply within third month                                     | 0.00     |
| 118 1,440     | 218 720       | Extension for reply within fourth month                                    | 0.00     |
| 128 1,960     | 228 980       | Extension for reply within fifth month                                     | 0.00     |
| 119 320       | 219 160       | Notice of Appeal   | 0.00     |
| 120 320       | 220 160       | Filing a brief in support of an appeal                                     | 0.00     |
| 121 280       | 221 140       | Request for oral hearing   | 0.00     |
| 138 1,510     | 138 1,510     | Petition to institute a public use proceeding                              | 0.00     |
| 140 110       | 240 55        | Petition to revive - unavoidable   | 0.00     |
| 141 1,280     | 241 640       | Petition to revive - unintentional   | 0.00     |
| 142 1,280     | 242 640       | Utility issue fee (or reissue)   | 0.00     |
| 143 460       | 243 230       | Design issue fee   | 0.00     |
| 144 620       | 244 310       | Plant issue fee  | 0.00     |
| 122 130       | 122 130       | Petitions to the Commissioner  | 0.00     |
| 123 50        | 123 50        | Processing fee under 37 CFR 1.17(q)  | 0.00     |
| 126 180       | 126 180       | Submission of Information Disclosure Stmt                                  | 180.00   |
| 581 40        | 581 40        | Recording each patent assignment per property (times number of properties) | 0.00     |
| 146 740       | 246 370       | Filing a submission after final rejection (37 CFR § 1.129(a))              | 0.00     |
| 149 740       | 249 370       | For each additional invention to be examined (37 CFR § 1.129(b))           | 0.00     |
| 179 740       | 279 370       | Request for Continued Examination (RCE)                                    | 0.00     |
| 169 900       | 169 900       | Request for expedited examination of a design application                  | 0.00     |

Other fee (specify) \_\_\_\_\_

\*Reduced by Basic Filing Fee Paid

**SUBTOTAL (3) (\$)** 180.00

### SUBMITTED BY

Name (Print Type) **Mark W. Hendricksen**  
 Signature *Mark W. Hendricksen*

Registration No. **32,356**  
 (Attorney/Agent)

### Complete (if applicable)

Telephone **(509) 624-4276**  
 Date **3/15/02**

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application Serial No. .... 09/875,501  
Filing Date ..... June 4, 2001  
Inventor ..... Klaus Florian Schuegraf et al.  
Assignee ..... Micron Technology, Inc.  
Group Art Unit ..... 2815  
Examiner ..... E. Ortiz  
Attorney's Docket No. .... MI22-1741  
Title: Methods for Forming Wordlines, Transistor Gates, and Conductive Interconnects, and  
Wordline, Transistor Gate, and Conductive Interconnect Structures


**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

References -- See Attached Form PTO-1449

The attached form PTO-1449 is submitted in compliance with  
37 CFR §1.56. No admission is made regarding whether the submitted reference  
is prior art. A copy of the reference is attached.

Respectfully Submitted:

Dated: 3/15/02

  
Mark W. Hendricksen  
Reg. No. 32,356

04/01/2002 MAHMED1 00000070 09875501

01 FC:126

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